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# NOTICE OF ALLOWANCE AND FEE(S) DUE

01/07/2008

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C. 1800 DIAGONAL ROAD SUITE 370 **ALEXANDRIA, VA 22314** 

**EXAMINER** NEURAUTER, GEORGE C ART UNIT PAPER NUMBER

2143 DATE MAILED: 01/07/2008

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/687,637      | 10/20/2003  | Hideo Tabuchi        | 500.38242CX1        | 1837             |

TITLE OF INVENTION: DISK SUBSYSTEMS AND THEIR INTEGRATED SYSTEM

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$300               | \$0                  | \$1740           | 04/07/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### **HOW TO REPLY TO THIS NOTICE:**

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

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- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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| appropriate. All further  | correspondence includir<br>d below or directed otl   | ng the Patent, advance o                  | orders and notification  | of m                             | naintenance fees w<br>pondence address;                                   | ill be r<br>and/or                                     | mailed to the current<br>(b) indicating a sepa   | hould be completed where<br>correspondence address as<br>arate "FEE ADDRESS" for  |
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| CURRENT CORRESPONDE   | ENCE ADDRESS (Note: Use B                            | lock 1 for any change of address)         |  | Fee(                             | s) Transmittal. Thi   | s certifi<br>I paper.                                  | icate cannot be used f   | or domestic mailings of the<br>for any other accompanying<br>ant or formal drawing, must  |
| 24956   | 7590 01/07   | //2008 ·                                  | •  | Have                             |   |  |  |   |
| 1800 DIAGONA<br>SUITE 370   | AL ROAD  | LUR & BRUNDI                              | DGE, P.C.  | I her<br>State<br>addre<br>trans | Certify that this Postal Service we essed to the Mail smitted to the USP1 | tificate<br>is Fee(s<br>rith suff<br>Stop I<br>ΓΟ (571 | of Mailing or Trans ) Transmittal is being ficient postage for firs (ISSUE FEE address I) 273-2885, on the d | mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.                               |
| ALEXANDRIA,   | , VA 22314   |   |  |                                  |   |  |  | (Depositor's name)  |
|   |  | ,   |  |                                  |   |  |  | (Signature)   |
|   |  |   |  |                                  |   | •  |  | (Date)  |
| APPLICATION NO.   | FILING DATE  |   | FIRST NAMED INVEN  | TOR                              |   | ATTOR  | RNEY DOCKET NO.  | CONFIRMATION NO.  |
| 10/687,637  | 10/20/2003   |   | Hideo Tabuchi  |                                  |   | 5  | 00.38242CX1  | 1837  |
| TITLE OF INVENTION  | : DISK SUBSYSTEMS                                    | AND THEIR INTEGRA                         | TED SYSTEM   |                                  |   |  |  |   |
|   | •  |   |  |                                  |   |  |  |   |
|   |  |   |  |                                  |   |  |  | •   |
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| nonprovisional  | NO   | \$1440                                    | \$300  |                                  | \$0   |  | \$1740   | 04/07/2008  |
| EXAM  | INER   | ART UNIT                                  | CLASS-SUBCLASS   |                                  |   |  | ·  |   |
| NEURAUTER   | , GEORGE C   | 2143                                      | 709-211000   |                                  |   |  |  |   |
| 1. Change of corresponde  | nce address or indication                            | n of "Fee Address" (37                    | 2. For printing on t   | •                                |   |  |  |   |
| CFR 1.363).   | andence address (or Cha                              | nge of Correspondence                     | (1) the names of u<br>or agents OR, alter  | p to                             | 3 registered patent   | tattorne   | eys <sup>1</sup>   |   |
| Address form PTO/SB   | ondence address (or Cha<br>1/122) attached.          | inge of Correspondence                    | (2) the name of a single firm (having as a member a 2  |                                  |   |  |  |   |
| "Fee Address" indi<br>PTO/SB/47; Rev 03-0<br>Number is required.  | cation (or "Fee Address'<br>2 or more recent) attach | "Indication form<br>ed. Use of a Customer | registered attorney<br>2 registered patent<br>listed, no name wil  | or a                             | gent) and the name<br>neys or agents. If r                                | es of up   | to   |   |
| 3. ASSIGNEE NAME AT   | ND RESIDENCE DATA                                    | A TO BE PRINTED ON                        | THE PATENT (print o  | r typ                            | e)  |  |  |   |
| PLEASE NOTE: Unle   | ess an assignee is identi                            |   | data will appear on the  | he pa                            | tent. If an assigne   | e is ide   | entified below, the de   | ocument has been filed for  |
| (A) NAME OF ASSIC   | INEE   |   | (B) RESIDENCE: (C  | ZITÝ                             | and STATE OR C  | OUNTI  | RY)  | •   |
|   |  |   |  |                                  |   |  |  |   |
| Please check the appropri   | ate assignee category or                             | categories (will not be p                 | rinted on the patent):   |                                  | Individual 🗖 Co   | rporatio   | on or other private gro  | oup entity Government   |
| 4a. The following fee(s) a  | re submitted:  | 4   | b. Payment of Fee(s): (  |                                  | se first reapply an   | y previ  | ously paid issue fee   | shown above)  |
| ☐ Issue Fee   | 11 42 11   |   | A check is enclosed.   |                                  |   |  |  |   |
|   | o small entity discount p                            |   | Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any |                                  |   |  |  |   |
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| 5. Change in Entity Stat  | us (from status indicated<br>SMALL ENTITY statu      | •   | b. Applicant is no   | long                             | er claiming SMAL  | L ENT  | ITY status. See 37 CF  | FR 1.27(g)(2).  |
| NOTE: The Issue Fee and   | Publication Fee (if requ                             | uired) will not be accepte                | d from anyone other th   | an th                            | e applicant; a regis  | stered at  | ttorney or agent; or th  | e assignee or other party in  |
| interest as shown by the re   | ecords of the United Sta                             | tes Patent and Trademark                  | c Office.  |                                  |   | -  |  |   |
| Authorized Signature  |  |   |  |                                  | Date  |  | <del></del>  |   |
| * -   |  |   |  |                                  | _   |  |  | <del></del>   |
| This collection of informa<br>an application. Confident<br>submitting the completed<br>this form and/or suggestic<br>Box 1450, Alexandria, Vi<br>Alexandria, Virginia 2231<br>Under the Paperwork Red | 3-1430.  |   |  |                                  |   |  |  | by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, number. |

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



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| 24956                                       | 7590                  | 01/07/2008 | •                                |     | EXAM                         | INER             |  |  |
| MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C. |                       |            |                                  |     | NEURAUTER, GEORGE C          |                  |  |  |
| 1800 DIAGONAL ROAD                          |                       |            |                                  |     | ART UNIT PAPER               |                  |  |  |
| SUITE 370<br>ALEXANDRIA, VA 22314           |                       |            |                                  | DA1 | 2143<br>FE MAILED: 01/07/200 | 8                |  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 868 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 868 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.